

Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number KLYC-01056USD		Serial/Patent Number 10/684,847	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use several sheets if necessary)</i>				Applicant/Patent Owner Zucherman, et al.			
				Filing/Issue Date October 14, 2003		Group Art Unit: 3732	
U.S. PATENTS							
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
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Examiner Initial		Patent Application Publication Number	Publication Date	Applicant			
PENDING U.S. PATENT APPLICATIONS							
Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes No		
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Translation Yes No
OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)							
Examiner	/Pedro Philogene/			Date Considered	05/25/2006		
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							
<p>*1 = Copy not submitted because it was submitted in prior application SN __/____, filed _____, 20____, relied on under 35 USC §120.</p>							
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PP	1.	5,454,812	10/03/1995	Lin			
	2.	5,527,312	6/18/1996	Ray			
	3.	5,554,191	9/10/1996	Lahille et al.			
	4.	6,048,342	4/11/2000	Zucherman			
	5.	6,068,630	5/30/2000	Zucherman			
	6.	6,190,414	2/20/2001	Young et al.			
	7.	6,368,351	4/9/2002	Glenn et al.			
	8.	6,458,131	10/1/2002	Ray			
V	9.	6,770,095	08/03/2004	Grinberg			

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PP	10.	2001/0012938	08/09/2001	Zucherman	
PP	11.	2004/0106998	06/03/04	Ferree	
PP	12.	2004/0138750	07/15/2004	Mitchell	

pp	13.	2004/0143332	07/22/2004	Krueger		
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pp	1. FR 2724554	03/22/1996	France	A61B	17/70	✓
PP	2. FR 2722088	01/12/1996	France	A61B	17/70	✓
PP	3. FR 2705227	11/25/1994	France	A61F	2/44, 2/46	✓
PP	4. GB 780652	08/07/1957	Great Britain	A61F		✓

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Electronic Version v18

Stylesheet Version v18.0

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<p>Application Number: 10/684847</p> <p>Confirmation Number: 3522</p> <p>First Named Applicant: James Zucherman</p> <p>Attorney Docket Number: KLYC1056USD</p> <p>Art Unit: 3732</p> <p>Search string: (2456806 or 2677369 or 3426364 or 3648691 or 3867728 or 3875595 or 4309777 or 4349921 or 4369769 or 4401112 or 4479491 or 4501269 or 4553273 or 4554914 or 4599084 or 4599086 or 4604995 or 4611582 or 4636217 or 4643178 or 4657550 or 4685447 or 4696290 or 4714469 or 4743256 or 4772287 or 4790303 or 4834757 or 4878915 or 4904260 or 4904261 or 4913134 or 4932975 or 4936848 or 4946378 or 4961740 or 4969888 or 5011484 or 5015247 or 5026373 or 5035716 or 5047055 or 5059193 or 5059194 or 5084049 or 5092866 or 5122130 or 5123926 or 5167662 or 5055104).pn.</p>																																																																																							
<p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>PP</td><td>1</td><td>2456806</td><td>1948-12-21</td><td>E.B. Wolfe</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>2677369</td><td>1954-05-04</td><td>Knowles</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>3426364</td><td>1969-02-11</td><td>Lumb</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>3648691</td><td>1972-03-14</td><td>Lumb et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>3867728</td><td>1975-02-25</td><td>Stubstad et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>3875595</td><td>1975-04-15</td><td>Froning</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>4309777</td><td>1982-01-12</td><td>Patil</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>4349921</td><td>1982-09-21</td><td>Kuntz</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>4369769</td><td>1983-01-25</td><td>Edwards</td><td></td><td></td><td></td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	PP	1	2456806	1948-12-21	E.B. Wolfe					2	2677369	1954-05-04	Knowles					3	3426364	1969-02-11	Lumb					4	3648691	1972-03-14	Lumb et al.					5	3867728	1975-02-25	Stubstad et al.					6	3875595	1975-04-15	Froning					7	4309777	1982-01-12	Patil					8	4349921	1982-09-21	Kuntz					9	4369769	1983-01-25	Edwards			
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	11	4479491	1984-10-30	Martin
	12	4501269	1985-02-26	Bagby
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	21	4657550	1987-04-04	Daher
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	23	4696290	1987-09-29	Steffee
	24	4714469	1987-12-22	Kenna
	25	4743256	1988-05-10	Brantigan
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	38	5011484	1991-04-30	Breard
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	44	5059194	1991-10-22	Michelson
▼	45	5084049	1992-01-28	Asher et al.

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<input type="checkbox"/>	49	5167662	1992-12-01	Hayes et al.
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Signature


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Application Number: 10/684847							
Confirmation Number: 3522							
First Named Applicant: James Zucherman							
Attorney Docket Number: KLYC1056USD							
Art Unit: 3732							
Search string: (6261296 or 6436145 or 6565570).pn.							
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
PP	1	6261296	2001-07-17	Aebi et al.			
PP	2	6436145	2002-08-20	Miller			
PP	3	6565570	2003-05-20	Sterett et al.			
Signature							
Examiner Name				Date			
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Title of
Invention

INTERSPINOUS PROCESS APPARATUS AND METHOD
WITH A SELECTABLY EXPANDABLE SPACER

Application Number: 10/684847



Confirmation Number: 3522

First Named Applicant: James Zucherman

Attorney Docket Number: KLYC1056USD

Art Unit: 3732

Search string: (5180381 or 5192327 or 5258031 or 5263953
or 5290312 or 5304178 or 5306309 or 5352225
or 5387213 or 5390683 or 5395372 or 5415661
or 5443514 or 5456722 or 5458638 or 5458641
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or 5674295 or 5674296 or 5676702 or 5702455
or 5766252 or 5800438 or 5824098 or 5865846
or 5885299 or 5888224 or 5888226 or 5976186
or 6001130 or 6022376 or 6113639 or 6156067
or 6234705 or 5725582).pn.

US Patent Documents

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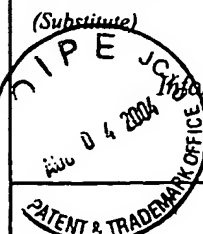
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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	2	5192327	1993-03-09	Brantigan			
	3	5258031	1993-11-02	Salib et al.			
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	2	EP 140790 A2	10-1984	EPO			
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	28	WO 01/28442 A1	4-2001	PCT			
	29	FR 2806614 A1	9-2001	France			
	30	FR 2806616 A1	9-2001	France			
✓	31	EP 1138268 A1	10-2001	EPO			

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PP	34	Haruo Tsuji, et al., <i>Ceramic Interspinous Block (CISB) Assisted Anterior Interbody Fusion</i> , Journal of Spinal Disorders, Vol.3, No.1, pp.77-86, ©1990 Raven Press, Ltd., New York
PF	35	Richard W. Porter, MD, FRCS, FRCSE, <i>Spinal Stenosis and Neurogenic Claudication</i> , SPINE Volume 21, Number 17, pp.2046-2052, ©1996, Lippincott-Raven Publishers

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PP	13.	2004/0143332	07/22/2004	Krueger		
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